



Patent

Docket: 7241-111/10303809

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventor: JOHN D. BARBER et al.

Serial No.: 10/643,528

Filed: August 19, 2003

For: ADDITIVES FOR USE IN POLYMER
PROCESSING AND METHODS OF
PREPARATION AND USE THEREOF

Group Art Unit:

Examiner:

TRANSMITTAL OF MISSING PARTS

Mail Stop Missing Parts
Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

I. DOCUMENTS ENCLOSED:

No NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR
§ 1.53(f) has yet been received -- Enclosed are:

- ☒ Declaration
- ☒ Power of Attorney: ☒ Separate or ☐ Combined with Declaration
- ☒ Assignment of the invention to HI-TECH ENVIRONMENTAL PRODUCTS, LLC dba VITROCO (together with Assignment Cover Sheet)
- ☐ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f) has not yet been received.

CERTIFICATE OF MAILING
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Mail Stop Missing Parts, Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450.

November 5, 2003
Date of Deposit

Diane C. Smith

Name of Person Mailing Paper

Signature of Person Mailing Paper

11/13/2003 EFLORES 00000042 500337 10643528

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II. FILING FEES

- ☒ Applicant claims small entity status pursuant to 37 CFR 1.27.
- ☒ Filing fees were previously paid; Applicant encloses the Assignment Recordation fee of \$40 and the Surcharge of \$65.00 at the small entity rate.

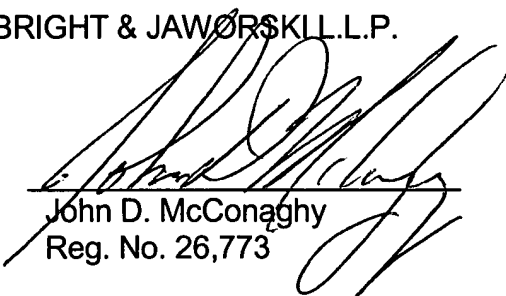
III. METHOD OF PAYMENT OF FEES:

- ☐ A check in the amount of \$0.00 is enclosed to cover the above fee(s).
- ☒ Charge Fulbright & Jaworski L.L.P.'s Deposit Account No. **50-0337** in the amount of \$105.00.
- ☒ The Commissioner is authorized to charge Fulbright & Jaworski L.L.P.'s Deposit Account No. **50-0337** for any fees required under 37 CFR §§ 1.16, that are not covered, in whole or in part, regarding the papers enclosed herewith and to credit any overpayments to said Deposit Account No. **50-0337**.

Respectfully submitted,

FULBRIGHT & JAWORSKI L.L.P.

November 5, 2003

By: 
John D. McConaghy
Reg. No. 26,773

CUSTOMER
NUMBER

000167

PATENT TRADEMARK OFFICE

Fulbright & Jaworski L.L.P.
29th Floor
865 South Figueroa Street
Los Angeles, CA 90017 - 2576
(213) 892-9200



**UTILITY DECLARATION
AND POWER OF ATTORNEY**
Utility Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled the specification of which

(Check One) ☐ is attached hereto OR
☒ was filed on August 19, 2003 as United States Application Serial No. 10/643,528 or PCT International Application No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Date of Filing	Priority Claimed	
			Yes	No

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date
60/404,656	August 19, 2002
60/454,528	March 13, 2003

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date	Status-Patented, Pending or Abandoned

POWER OF ATTORNEY: As a named inventor, I hereby appoint as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office, and in countries other than the United States, and to do all things necessary or appropriate therefor before any competent International Authorities in connection with any international patent application(s) corresponding to the above-identified invention application, all of the registered practitioners identified by Customer Number 22249:

Customer No.

000167

PATENT TRADEMARK OFFICE

Fulbright & Jaworski L.L.P.


Twenty Ninth 29th Floor


865 South Figueroa Street

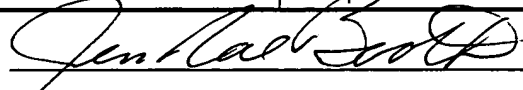
Los Angeles, CA 90017-2576

Please send all correspondence to the attention of John D. McConaghy, and direct all telephone calls to (213) 892-9200.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

201	FULL NAME OF INVENTOR	FIRST Name JOHN	MIDDLE Initial D.	LAST Name BARBER	
	RESIDENCE & CITIZENSHIP	City Newport Beach	State or Foreign Country California		Country of Citizenship U.S.
	POST OFFICE ADDRESS	525 Cancha	City Newport Beach	State or Country California	Zip Code 92660
INVENTOR'S SIGNATURE  DATE <u>10/7/03</u>					

201	FULL NAME OF INVENTOR	FIRST Name GERALD	MIDDLE Initial F.	LAST Name BREM	
	RESIDENCE & CITIZENSHIP	City Chino Hills	State or Foreign Country California		Country of Citizenship U.S.
	POST OFFICE ADDRESS	1131 Village Drive	City Chino Hills	State or Country California	Zip Code 91709
INVENTOR'S SIGNATURE  DATE <u>10/8/03</u>					

201	FULL NAME OF INVENTOR	FIRST Name JESS	MIDDLE Initial RAE	LAST Name BOOTH	
	RESIDENCE & CITIZENSHIP	City Newport Beach	State or Foreign Country California		Country of Citizenship U.S.
	POST OFFICE ADDRESS	2 Scenic Bluff	City Newport Beach	State or Country California	Zip Code 92657
INVENTOR'S SIGNATURE  DATE <u>10/8/03</u>					



Patent
7241-111

**POWER OF ATTORNEY
By Assignee**

HI-TECH ENVIRONMENTAL PRODUCTS, LLC, assignee(s) of the application for United States Letters Patent for an improvement in

**ADDITIVES FOR USE IN POLYMER RPROCESSING AND METHODS OF PREPARATION AND
USE THEREOF**

by JOHN D. BARBER et al.,

the specification of which:

- ☐ is filed herewith, OR
☒ was filed on August 19, 2003, having U.S. Patent Application Serial No. 10/643,528,

does hereby appoint as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office, and in countries other than the United States, and to do all things necessary or appropriate therefor before any competent International Authorities in connection with any international patent application(s) corresponding to the above-identified application, all of the registered practitioners identified by Customer Number 000167

Customer
Number
000167

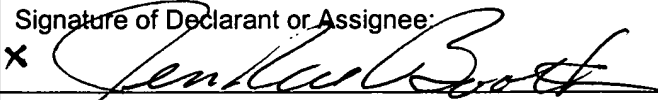
FULBRIGHT & JAWORSKI L.L.P.
Twenty Ninth Floor
865 South Figueroa Street
Los Angeles, CA 90017-2576
(213) 892-9200

Please send all correspondence to the attention of John D. McConaghy, at the above Customer Number, and direct all telephone calls to **(213) 892-9200**.

I, the undersigned, declare that I have reviewed copies of the documentary evidence establishing chain of title to the patent application identified above from the inventor(s) to the assignee(s), which:

- ☒ is filed for recordation herewith; or
☐ was recorded at Reel _____, Frame _____; or
☐ has been sent for recordation under separate cover, copy attached herewith.

To the best of the undersigned's knowledge and belief, title is in the assignee(s) identified above. Furthermore, the undersigned is empowered to sign this document on behalf of the assignee(s).

Full Name of Assignee: HI-TECH ENVIRONMENTAL PRODUCTS, LLC dba VITROCO	
Post Office Address: 5 Hutton Centre Drive, Suite 700, Santa Ana, CA 92707	
Signature of Declarant or Assignee: 	Date: 10-11-03
Full Name of Declarant If Other Than Assignee: Jess Rae Booth	
Title of Declarant: President	
Address of Declarant: 5 Hutton Centre Drive, Suite 700, Santa Ana, CA 92707	